

# Regulatory Intelligence Obligations Library

Compliance at a new level

# Introducing a new browsable library of actionable content

The Obligations Library is a comprehensive solution for tracking compliance requirements across banking, financial services, and insurance sectors. A dedicated team of legally trained editors provides a plain-language, outlined summary of the laws and regulations relevant to your business delivered in subsection-level Obligation documents.

The Obligations Library with **Thomson Reuters® Regulatory Intelligence** reinvents the way users track legal content. Each Obligation document is taxonomized down to the subsection level with our new Compliance Requirements filter, allowing customers to pinpoint and track obligations by cross-industry and industry-specific requirements.

The Obligations Library is seamlessly integrated into Regulatory Intelligence. In addition to Compliance Requirements, every document in the Obligations Library is taxonomized as to Content Type, Geography, Sector and Organization. Advanced Search, My Updates and Library Browse functions allow utilization of familiar research tools.



# **Key benefits**

- Detailed breakdowns of complex requirements Full, plain-language outlines of each Obligation retain the detail you need without the legalese.
- **Global content** Banking, financial services, and insurance obligations in:

Australia

Cayman Islands

- Singapore

Barbados

Hong Kong

U.K.

Canada (federal & provincial)

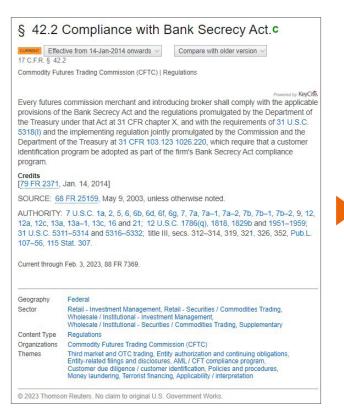
- New Zealand

U.S. (federal & state)

- Continuously updated and forward-looking Our international team of dedicated compliance attorneys and regulatory compliance experts update each Obligation within 30 days of changes and outline upcoming Obligations one year before the effective date, where available.
- Curated for business The Obligations Library is a streamlined content set containing only provisions that create an express or implied compliance obligation for your organization.
- Custom content your way Easily browse the Obligations Library within Regulatory Intelligence and export full-text Obligations into workable spreadsheets or use the Thomson Reuters® Regulatory Intelligence API to integrate into your preferred workflow solution.
- Add Obligations Library to your Regulatory Intelligence subscription The Obligations Library is an exclusive add-on to Regulatory Intelligence putting compliance requirements at your fingertips. Linking to full-text source content is available in Barbados, Canada, Cayman Islands and the U.S.

# See the Obligations Library difference

### Source



### **Obligation**

# 17 CFR s 42.2 Compliance with Bank Secrecy Act.

REG. OBG. US 17 CFR s 42.2 Document Date: 06-Oct-2021

Thomson Reuters Risk; Commodity Futures Trading Commission (CFTC) | Obligations

### 17 CFR s 42.2

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- 1. Every futures commission merchant and introducing broker must comply with:
  - a. the applicable provisions of the Bank Secrecy Act, 12 USCA's 1951 et seq.;
  - b. the regulations promulgated by the Department of the Treasury at 31 CFR Ch. X: and
  - c. both of the following which require that a customer identification program be adopted as part of the firm's Bank Secrecy Act compliance program:
    - I. the requirements of 31 USCA's 5318(I); and
    - II. the implementing regulation jointly promulgated by the Commodity Futures Trading Commission and the Department of the Treasury at 31 CFR s 1026.220.

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Geography Federal

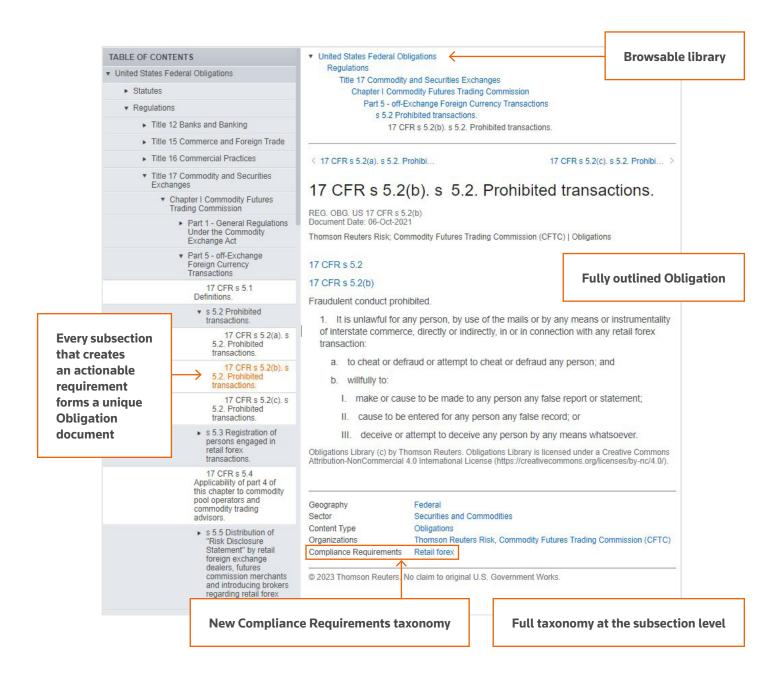
Sector Securities and Commodities

Obligations

Content Type Thomson Reuters Risk, Commodity Futures Trading Commission (CFTC) Organizations

Compliance Requirements AML / CFT compliance program

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## **Thomson Reuters Regulatory Intelligence**

Thomson Reuters Regulatory Intelligence delivers a focused view of the global regulatory environment. It empowers compliance professionals to make well-informed decisions to manage regulatory risk with confidence using the most trusted intelligence available. Thomson Reuters Regulatory Intelligence is powered by highly trusted and comprehensive content. The solution's intuitive tools cut through the complexity and sheer volume of regulatory content and developments, providing clarity on what is most important to the organization in a cost-effective way. Content can be viewed at a global industry-wide level or filtered for a more granular view to meet an organization's specific needs.

For more information about our new Obligations Library <u>contact our sales team</u>. If you are an existing TRRI customer, please contact your representative to add a subscription to the Obligations Library.

